## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* FINNERAN JOHN G JR						2. Issuer Name and Ticker or Trading Symbol  CAPITAL ONE FINANCIAL CORP [ COF ]									heck all ap	ip of Reportir plicable) ctor cer (give title	ng Perso	10% C	
(Last) (First) (Middle) 1680 CAPITAL ONE DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 02/01/2017										beid	w) . Counsel &	& Corp	below) Secret		
(Street) MCLEAN VA 22102 (City) (State) (Zip)				4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Da				Date	Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year		Transaction Disposed Code (Instr. 5)		ities Acquired (A) d Of (D) (Instr. 3,			nd Secu Bene	ficially d Following	6. Owr Form: (D) or I (I) (Ins	Direct Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amount	(A) or (D)		Price	Trans	action(s) 3 and 4)			(11341. 4)		
Common Stock					2/01/2017				G	V	16,32	1 D S		\$0	) 1	124,495		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Conversion Date Execution Date, or Exercise (Month/Day/Year) if any (Month/Day/Year) Derivative		Transaction Code (Instr. 8) Sect Acquire (A) C Disp of (D		sed 3, 4	6. Date E Expiratio (Month/D	n Date	Amount of		unt ber	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ow Fo Dir or (I)	vnership rm: ect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

**Explanation of Responses:** 

Remarks:

02/03/2017 Cleo Belmonte (POA on file)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.