# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

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UNDER THE SECURITIES EXCHANGE ACT OF 1934 (AMENDMENT NO. 15)\*

## **CAPITAL ONE FINANCIAL CORPORATION**

(Name of Issuer)

Common Stock (Title of Class of Securities)

> 14040H105 (CUSIP Number)

December 31, 2016 (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☑ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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COSIF	10. 1404011	10.	130	FAGE 2 OF 4 FAGES		
1	1 NAME OF REPORTING PERSON		EPORTING PERSON			
	S.S. OR I	.R.	S. IDENTIFICATION NO. OF ABOVE PERSON			
	D. J 0	<u> </u>	0.4.1.4.4.0.7.6			
2	Dodge &		x 94-1441976 E APPROPRIATE BOX IF A MEMBER OF A GROUP*			
	(a)		e Appropriate box if a member of a group.			
	(4)	(-	, _			
	N/A					
3	SEC USE	(O	VLY			
4	CITIZEN	SH	IP OR PLACE OF ORGANIZATION			
	California II C A					
	California - U.S.A.  5   SOLE VOTING POWER		SOLE VOTING POWER			
NUM	IBER OF		42,546,902			
	IARES	6	SHARED VOTING POWER			
	FICIALLY					
	NED BY	_	0			
	ACH ORTING	7	SOLE DISPOSITIVE POWER			
	RSON		45,211,452			
V	VITH	8	SHARED DISPOSITIVE POWER			
			0			
9	AGGREC	3A7	E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON			
	45 241 452					
10	45,211,452 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*					
10	CHECK	БО.	A IF THE AGGREGATE AMOUNT IN NOW (9) EXCLUDES CERTAIN SHARES			
	N/A					
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9					
	9.4%					
12	TYPE OF	· R	EPORTING PERSON*			
	IA					
1						

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Item 1(a)	Name of Issuer:
	CAPITAL ONE FINANCIAL CORPORATION
Item 1(b)	Address of Issuer's Principal Executive Offices:
	1680 Capital One Drive McLean, Virginia 22102
	IvicLean, Virginia 22102
Item 2(a)	Name of Person Filing:
	Dodge & Cox
Item 2(b)	Address of the Principal Office or, if none, Residence:
	555 California Street, 40th Floor
	San Francisco, CA 94104
Item 2(c)	<u>Citizenship</u> :
	California - U.S.A.
Item 2(d)	Title of Class of Securities:
	Common Stock
Item 2(e)	CUSIP Number:
item 2(e)	14040H105
Item 3	If the Statement is being filed pursuant to Rule 13d-1(b), or 13d-2(b), check whether the person filing is a:
	(e)   Investment Advisor registered under section 203 of the Investment Advisors Act of 1940
Item 4	Ownership:
	(a) <u>Amount Beneficially Owned</u> :
	45,211,452
	(b) <u>Percent of Class</u> :
	9.4%
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- (c) Number of shares as to which such person has:
- (i) sole power to vote or direct the vote: 42,546,902
- (ii) shared power to vote or direct the vote: 0
- (iii) sole power to dispose or to direct the disposition of: 45,211,452
- (iv) shared power to dispose or to direct the disposition of: 0

## Item 5 Ownership of Five Percent or Less of a Class:

Not applicable.

## Item 6 Ownership of More than Five Percent on Behalf of Another Person:

The clients of Dodge & Cox, including investment companies registered under the Investment Company Act of 1940 and other managed accounts, have the right to receive or power to direct the receipt of dividends from, and the proceeds from the sale of, CAPITAL ONE FINANCIAL CORPORATION.

Dodge & Cox Stock Fund, an investment company registered under the Investment Company Act of 1940, has an interest of 27,167,711, or 5.6%, of the class of securities reported herein.

Item 7 <u>Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company:</u>

Not applicable.

Item 8 <u>Identification and Classification of Members of the Group:</u>

Not applicable.

Item 9 Notice of Dissolution of a Group:

Not applicable.

#### Item 10 Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purpose or effect.

### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: March 20, 2017

DODGE & COX

By: /S/ THOMAS M. MISTELE

Name: Thomas M. Mistele Title: COO & Senior Counsel

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