UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

c	TTT	NT II	T T	17	\sim
. JC	HE	I)UI		1.50	lт

UNDER THE SECURITIES EXCHANGE ACT OF 1934 (AMENDMENT NO. 13)*

CAPITAL ONE FINANCIAL CORPORATION

(Name of Issuer)

Common Stock (Title of Class of Securities)

> 14040H105 (CUSIP Number)

November 30, 2016 (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☑ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP NO. 14040H105 PAGE 2 OF 5 PAGES

1	NAME OF REPORTING PERSON				
	S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON				
			Cox 94-1441976		
2			E APPROPRIATE BOX IF A MEMBER OF A GROUP*		
	(a) □	(t	o) \square		
	DT/A				
	N/A				
3	SEC USE ONLY				
4	CUTIGENCIALD OD DI A CE OF OD OD CANIZATION				
4	CITIZENSHIP OR PLACE OF ORGANIZATION				
	California - U.S.A.				
	California - U.S.A. 5 SOLE VOTING POWER				
		Э	SOLE VOTING POWER		
			46,223,259		
	IBER OF	6	SHARED VOTING POWER		
	ARES	O	SHARED VOTING POWER		
	FICIALLY NED BY		0		
	ACH	7 SOLE DISPOSITIVE POWER			
	ORTING	/	SOLE DISPOSITIVE FOWER		
	RSON		49,002,359		
V	VITH	8	SHARED DISPOSITIVE POWER		
		O	SHARED DISFOSITIVE FOWER		
			0		
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON				
3	AGGREGATE AMOUNT BENEFICIALET OWNED DT EAGITREI ORTHVOTERSON				
	49,00	2.3	259		
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*				
10	CHECK BOX II THE MOCKED HE MINOUNT IN NOW (3) EXCEODED CENTRIN STRIKED				
	N/A				
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9				
	TERCENT OF CENTED BY THROUGH IN NOTIO				
	10.2%				
12	TYPE OF	RI	EPORTING PERSON*		
	TΔ				

PAGE 2 OF 5 PAGES

Item 1(a) Name of Issuer: CAPITAL ONE FINANCIAL CORPORATION Address of Issuer's Principal Executive Offices: Item 1(b) 1680 Capital One Drive, McLean, Virginia 22102 Name of Person Filing: Item 2(a) Dodge & Cox Item 2(b) Address of the Principal Office or, if none, Residence: 555 California Street, 40th Floor San Francisco, CA 94104 Item 2(c) Citizenship: California - U.S.A. Item 2(d) Title of Class of Securities: Common Stock Item 2(e) **CUSIP Number:** 14040H105 If the Statement is being filed pursuant to Rule 13d-1(b), or 13d-2(b), check whether the person filing is a: Item 3 X Investment Advisor registered under section 203 of the Investment Advisors Act of 1940 (e) Ownership: Item 4 **Amount Beneficially Owned:** 49,002,359

Percent of Class:

10.2%

PAGE 3 OF 5 PAGES

- (c) Number of shares as to which such person has:
- (i) sole power to vote or direct the vote: 46,223,259
- (ii) shared power to vote or direct the vote: 0
- (iii) sole power to dispose or to direct the disposition of: 49,002,359
- (iv) shared power to dispose or to direct the disposition of: 0

Item 5 Ownership of Five Percent or Less of a Class:

Not applicable.

Item 6 Ownership of More than Five Percent on Behalf of Another Person:

The clients of Dodge & Cox, including investment companies registered under the Investment Company Act of 1940 and other managed accounts, have the right to receive or power to direct the receipt of dividends from, and the proceeds from the sale of, CAPITAL ONE FINANCIAL CORPORATION.

Dodge & Cox Stock Fund, an investment company registered under the Investment Company Act of 1940, has an interest of 29,786,611, or 6.2%, of the class of securities reported herein.

Item 7 <u>Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company:</u>

Not applicable.

Item 8 <u>Identification and Classification of Members of the Group:</u>

Not applicable.

Item 9 <u>Notice of Dissolution of a Group</u>:

Not applicable.

Item 10 Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purpose or effect.

PAGE 4 OF 5 PAGES

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: December 9, 2016

DODGE & COX

By: /S/ THOMAS M. MISTELE

Name: Thomas M. Mistele Title: COO & Senior Counsel

PAGE 5 OF 5 PAGES