Form 144 Filer Information SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

#### 144: Filer Information

Filer CIK 0001938196
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? 

LIVE TEST

**Submission Contact Information** 

Name

Phone

E-Mail Address

#### 144: Issuer Information

Name of Issuer CAPITAL ONE FINANCIAL CORPORATION

SEC File Number 001-13300

1680 Capital One Drive

Address of Issuer

McLean
VIRGINIA

22102

Phone (703) 720-1000

Name of Person for Whose Account the Securities are To Be Sold MARK MOUADEB

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

## 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	Name the Securities Exchange
Common	Morgan Stanley Smith Barney LLC Executive Financial Services 1 New York Plaza 8th Floor New York NY 10004	1995	323927.73	381510336	11/05/2024	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Title of the Date you Nature of Name of Is Date Amount of Date of Nature of

Class	Acquired	Acquisition Transaction	Person from Whom Acquired	this Donor a Acquired Gift?	Securities Acquired	Payment * Payment *
COMMON	11/19/2020	Dividend Reinvestment Shares	ISSUER		2	11/19/2020 Cash
COMMON	02/25/2022	Dividend Reinvestment Shares	ISSUER		7	02/25/2022 Cash
COMMON	08/19/2022	Dividend Reinvestment Shares	ISSUER		22	08/19/2022 Cash
COMMON	08/20/2021	Dividend Reinvestment Shares	ISSUER		10	08/20/2021 Cash
COMMON	05/27/2022	Dividend Reinvestment Shares	ISSUER		20	05/27/2022 Cash
COMMON	01/31/2022	Employee Stock Purchase Plan	ISSUER		57	01/31/2022 Cash
COMMON	02/28/2022	Employee Stock Purchase Plan	ISSUER		64	02/28/2022 Cash
COMMON	11/26/2021	Dividend Reinvestment Shares	ISSUER		9	11/26/2021 Cash
COMMON	02/15/2023	Performance Shares	ISSUER		1725	02/15/2023 Not Applicable
COMMON	03/31/2022	Employee Stock Purchase Plan	ISSUER		82	03/31/2022 Cash

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Nothing to Report

## 144: Remarks and Signature

Remarks

Date of Notice 11/05/2024

Date of Plan Adoption or Giving of Instruction, If Relying on Rule 10b5-1 07/25/2024

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Mark Daniel Mouadeb

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)