FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5

| Ī | OMB APPROVAL | | | | | | | | | |
|---|--------------------------|-----------|--|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | | |
| | Estimated average burden | | | | | | | | | |
| 1 | hours per response. | 0.5 | | | | | | | | |

| U obligati | ons may contin tion 1(b). | | d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | ho | urs per | response: | 0.5 | | |
|--|---|--|--|----------------------------|--|---|---|---|-----------|--|-----------|--|--------------------|---|--|----------|--|--|
| 1. Name and Address of Reporting Person* JENKINS BENJAMIN P III | | | | | 2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP COF | | | | | | | 5. Relationship of Re (Check all applicable X Director Officer (giv | | | 10% | | o Issuer 6 Owner er (specify | |
| (Last) (First) (Middle) 1680 CAPITAL ONE DRIVE | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/03/2017 | | | | | | | | belov | | below | | | |
| (Street) MCLEAN VA 22102 (City) (State) (Zip) 4. If Amendment, Date of Original Filed (| | | | | | | | | (Month/Da | Month/Day/Year) 6. Individual or Joint/Group Filing (Check Application) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | | |
| | | Tabl | e I - Nor | -Deriva | ative S | curitie | s Acc | quired, | Disp | osed o | f, or I | Benefi | cially | Owne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) | | | or 4 and | 5. Amount of Securities Beneficially Owned Following Reported | | Fo (D | Ownership orm: Direct) or Indirect (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | Code | v | Amount | (A (D | i) or)) Pr | ice | Transa | action(s) 3 and 4) | | | (111511.4) |
| Common Stock ⁽¹⁾ 05/03 | | | | | | | | A | | 2,061 | L | Α | \$ <mark>0</mark> | 14,800 | | | D | |
| | | Та | ıble II - C | | | | | | | sed of, onvertib | | | | wned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | on Date, Transa Code (I | | | rative rities iired r osed) | 6. Date Exercisable at Expiration Date (Month/Day/Year) | | | Amount of | | Der Sec (Ins | rice of ivative urity ttr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |

Explanation of Responses:

1. Restricted stock units which vest in their entirety on May 3, 2018 and settle in shares of the Company's common stock upon termination of service as a director. The reporting person will also be entitled to additional shares representing dividends accrued on the shares issuable at settlement.

Date

Exercisable

(D)

Expiration

Remarks:

Cleo Belmonte (POA on file) 05/05/2017

** Signature of Reporting Person Date

of Shares

Title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.