SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject	to
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
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1. Name and Add Alexander	Iress of Reporting Pe Robert M.	rson*	2. Issuer Name and Ticker or Trading Symbol <u>CAPITAL ONE FINANCIAL CORP</u> [COF]		ationship of Reporting Pe c all applicable) Director Officer (give title	10% Owner Other (specify
(Last)(First)(Middle)1680 CAPITAL ONE DRIVE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/10/2014		below) Chief Informati	below) on Officer
(Street) MCLEAN (City)	VA (State)	22102 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More th Person	porting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction	2A. Deemed	3.		4. Securities	Acquire	l (A) or	5. Amount of	6. Ownership	7. Nature of
,	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/10/2014		М		6,305	A	\$0.0000 ⁽¹⁾	97,379	D	
Common Stock	02/10/2014		М		3,286	A	\$0.0000(1)	100,665	D	
Common Stock	02/10/2014		F ⁽²⁾		2,613	D	\$71.37	98,052	D	
Common Stock	02/10/2014		F ⁽³⁾		2,785	D	\$71.37	95,267	D	
Common Stock	02/10/2014		D		3,286	D	\$72.24 ⁽¹⁾	91,981	D	
Common Stock	02/10/2014		D		6,305	D	\$72.24 ⁽¹⁾	85,676	D	
Common Stock								2	I	Robert M. Alexander UGMA
Common Stock								100	Ι	The Alexander Fund

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	oosed D) tr. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	e Amount of ar) Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
2013 Restricted Stock Units	\$ 0.0000 ⁽¹⁾	02/10/2014		М			3,286	(4)	(4)	Common Stock	3,286	\$ 0.0000 ⁽¹⁾	6,573	D	
Restricted Stock Units	\$0.0000 ⁽¹⁾	02/10/2014		М			6,305	(5)	(5)	Common Stock	6,305	\$0.0000 ⁽¹⁾	6,306	D	

Explanation of Responses:

1. On February 10, 2014 the restricted stock units were settled in cash based on the Company's average fair market value of shares of common stock over the twenty trading days preceding the vesting date. 2. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock granted on January 31, 2013. This is authorized in the

applicable restricted stock award agreement. 3. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock granted on January 31, 2012. This is authorized in the

5. Represents the automatic withinoiding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock granted on January 31, 2012. This is authorized in the applicable restricted stock award agreement.

4. These restricted stock units vest in 1/3 increments beginning on February 10, 2014 and annually thereafter.

5. These restricted stock units vest in 1/3 increments beginning on February 10, 2013 and annually thereafter.

<u>Gregory W. Seward (POA on</u> <u>file)</u>

02/12/2014

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v). ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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