| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERS

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB Number: 2225 0207

| SHIP | Estimated average burd | en |
|------|------------------------|-----|
| | hours per response: | 0.5 |
| | | |

| | , , , , , , | | 2. Issuer Name and Ticker or Trading Symbol <u>CAPITAL ONE FINANCIAL CORP</u> [COF] | | tionship of Reporting Person all applicable) Director Officer (give title below) | n(s) to Issuer 10% Owner Other (specify below) | |
|--|---------------|---------------------|--|---------------|--|---|--|
| (Last) (First) 1680 CAPITAL ONE DRIVE | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/08/2016 | | Gen. Counsel & Corp. | , | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | Line) | idual or Joint/Group Filing (| | |
| MCLEAN (City) | VA (State) | 22102 (Zip) | | X | Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| | Ta | able I - Non-Deriva | tive Securities Acquired, Disposed of, or Benefi | i cially (| Owned | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--|---|------------------------------|---|---|---------------|---|---|--|--|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock ⁽¹⁾ | 03/08/2016 | | Α | | 19,640 | A | \$0.0000 | 152,213 | D | | |
| Common Stock | 03/08/2016 | | F ⁽²⁾ | | 9,997 | D | \$68.49 | 142,216 | D | | |
| Common Stock | | | | | | | | 31,683 | I | Finneran/Cotter Children's Trust | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | (* 5 / 1 | , | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|--|--|----------------------------------|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Ownership Form: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. These shares were earned pursuant to an award of performance shares granted on January 31, 2013 based on the Company's Adjusted ROA against a peer group of companies over a three-year performance period. These shares represent the number of shares paid out based on actual performance plus the dividend shares accrued under the same grant.

2. These shares were automatically withheld by the Company to satisfy the reporting person's tax obligation associated with the settlement of the performance shares. This share withholding was authorized in the performance share award agreement.

Cleo Belmonte (POA on file) 03/10/2016

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

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