## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Sanjiv Yajnik					2. Issuer Name and Ticker or Trading Symbol <u>CAPITAL ONE FINANCIAL CORP</u> [ COF ]									k all applica Director	able)	10		o Issuer % Owner her (specify	
(Last) (First) (Middle) 1680 CAPITAL ONE DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 02/15/2015									below)				Jechy		
(Street)  MCLEA  (City)		TA State)	22102 (Zip)		4.	If Ame	endme	ent, Date	of Origina	al File	ed (Month/Day	/Year)		6. Indi Line) X	Form fil	ed by One	Repor	(Check Appl ting Person One Report	
		Ta	ble I - N	on-Dei	ivativ	ve Se	ecuri	ties A	cquire	d, Di	sposed of	, or Bei	nefici	ally	Owned				
1. Title of Security (Instr. 3)  2. Transa Date (Month/D				Exe ay/Year) if ar		2A. Deemed Execution Date, f any (Month/Day/Year)		ction Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			nd 5) Securitie Beneficia Owned F		es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Direct Indirect Etr. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price		Reported Transacti (Instr. 3 a	ion(s)			Instr. 4)
Common Stock			02/15	02/15/2015		;		М		9,485	A	\$0.00	0000(1) 14		,946		D		
Common Stock		02/15	02/15/2015				М		4,594	A	\$0.00	000(2)	148	,540		D			
Common Stock		02/15	02/15/2015				<b>F</b> <sup>(3)</sup>		2,211	D	\$78	.88	146	,329		D			
Common Stock			02/15	2/15/2015				D		4,594	D	\$76.	27 <sup>(2)</sup>	141	,735		D		
Common Stock 02/			02/15	5/2015	2015			D		9,485	D	\$76.	27 <sup>(1)</sup>	132,250			D		
			Table II						•		posed of, convertib			•	wned				
Derivative Conversion Date Security Or Exercise (Month/Day/Year) if any		3A. Deem Execution if any (Month/D	n Date,		ransaction Code (Instr.		n of E		6. Date Exercisable a Expiration Date (Month/Day/Year)		nd 7. Title and Amount of Securities Underlying Derivative Sec (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	Own Form Direct or In (I) (Ir	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)		Date Exercisab	ole	Expiration Date	Title	Amo or Num of Shar	ber					
2014 Restricted Stock Units	\$0.0000 <sup>(2)</sup>	02/15/2015			M			4,594	(4)		(4)	Common Stock	4,5	94	\$0.0000 <sup>(2)</sup>	9,186	5	D	
Restricted Stock	\$0.0000(1)	02/15/2015			М			9,485	02/15/201	5 <sup>(1)</sup>	02/15/2015 <sup>(1)</sup>	Common	9,4	85	\$0.0000 <sup>(1)</sup>	0.0000	0	D	

## **Explanation of Responses:**

Units

- 1. Each restricted stock unit vested on January 1, 2015 and settled in cash on February 15, 2015 based on the Company's average fair market value of the underlying shares of common stock over the fifteen trading days preceding the settlement date.
- 2. On February 15, 2015, the restricted stock units were settled in cash based on the Company's average fair market value of shares of common stock over the fifteen trading days preceding the vesting date.
- 3. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock units granted on January 30, 2014. This is authorized in the applicable restricted stock award agreement.
- 4. These restricted stock units vest in 1/3 increments beginning on February 15, 2015 and annually thereafter.

<u>Gregory W. Seward (POA on file)</u>

02/18/2015

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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