FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See

Instruction 1(b)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a)	of the Securities Exchange

e Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* LaPrade,III Frank G. (Last) (First) (Bast) (Middle) 1680 CAPITAL ONE DRIVE		on*	2. Issuer Name and Ticker or Trading Symbol <u>CAPITAL ONE FINANCIAL CORP</u> [COF]		ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner Officer (give title Other (specify		
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/30/2014		below) Chief Enterprise Si	below) rvcs Officer	
(Street) MCLEAN	VA	22102	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than	orting Person	
(City)	(State)	(Zip)			· · · · · · · · · · · · · · · · · · ·		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock ⁽¹⁾	01/30/2014		A		15,262	Α	\$0.0000	51,529	D	
Common Stock								676	I	By 401(k)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
2014 Restricted Stock Units	\$0.0000 ⁽²⁾	01/30/2014		A		12,068 ⁽³⁾		(4)	(4)	Common Stock	1 2,068 ⁽³⁾	\$0.0000	12,068	D	
Restricted Stock Units	\$0.0000 ⁽⁵⁾	01/30/2014		Α		8,752		02/15/2015 ⁽⁵⁾	02/15/2015 ⁽⁵⁾	Common Stock	8,752	\$0.0000	8,752	D	
Stock Options	\$70.96	01/30/2014		A		29,964		(6)	01/29/2024	Common Stock	29,964	\$0.0000	29,964	D	

Explanation of Responses:

1. This restricted stock unit award will vest in 1/3 increments beginning on February 15, 2015 and annually thereafter. Each restricted stock unit represents a contingent right to receive one share of Company common stock.

2. Each restricted stock unit will be settled in cash based on the Company's average fair market value of the underlying shares of common stock over the fifteen trading days preceding the vesting date.

3. This award is reported net of 425 units automatically withheld by the Company to satisfy the reporting person's tax obligation.

4. These restricted stock units will vest in 1/3 increments beginning on February 15, 2015 and annually thereafter.

5. Each restricted stock unit will vest on January 1, 2015 and will be settled in cash on February 15, 2015 based on the Company's average fair market value of the underlying shares of common stock over the fifteen trading days preceding the settlement date.

6. This option becomes exercisable in 1/3 increments beginning on February 15, 2015 and annually thereafter.

Gregory W. Seward (POA on <u>file)</u> ** Signature of Reporting Person

Date

02/03/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.