FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL |          |  |  |  |  |  |  |  |  |  |
|--------------|----------|--|--|--|--|--|--|--|--|--|
| OMB Number:  | 3235-028 |  |  |  |  |  |  |  |  |  |

37 Estimated average burden hours per response: 0.5

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |   |  |                                     |                              | OI.                               | Occiloi   | 1 30(1  | 1) 01 1110 | IIIVCStill                              | CIII C | ompany Act  | 01 1340  |                                       |   |   |  |  |  |  |  |
|--|---|--|-------------------------------------|------------------------------|-----------------------------------|---|---|------------|---|--------|---|--|---------------------------------------|---|---|--|--|--|--|--|
| 1. Name and Address of Reporting Person* <u>LaPrade,III Frank G.</u> |   |  |                                     |                              |                                   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP [ COF |   |            |   |        |   |  |                                       | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |   |  |  |  |  |  |
| (Last)   | (E  | ret)                                       | (Middle)                            |                              | _   ]                             | I   |   |            |   |        |   |  |                                       |   |   | (give title  |  | Other (s<br>below)   |  |  |
| (Last) (First) (Middle) 1680 CAPITAL ONE DRIVE                       |   |  |                                     |                              |                                   | 3. Date of Earliest Transaction (Month/Day/Year) 02/10/2016                         |   |            |   |        |   |  |                                       |   | Chief Enterprise Srvcs Officer  |  |  |  |  |  |
| (Street)   | N V   | Λ.   | 22102                               |                              | - 4. I                            |   |   |            |   |        |   |  |                                       | 6. Indiv<br>Line)<br>X  |   |  | up Filing (Check Ap                    |  | ·  |  |
| ,  |   |  |                                     |                              | -                                 |   |   |            |   |        |   |  |                                       |   | X Form filed by One Reporting Person  Form filed by More than One Reporting  Person |  |  |  |  |  |
| (City)   | (5  |  | (Zip)<br><b></b><br><b>le I - N</b> | on-Deriv                     | vative                            | Sec   | uriti   | ies Ac     | auirea                                  | 1. Di  | sposed o  | of, or Be  | enefici                               | ially   | Owner   | <u> </u>   |  |  |  |  |
| 1. Title of Security (Instr. 3) 2. Trans                             |   |  |                                     | 2. Transa                    | action 2A<br>Ex<br>Day/Year) if a |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |            | 3.<br>Transaction<br>Code (Instr.<br>8) |        | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 a |  |                                       | 5. Amoui<br>d 5) Securitie<br>Beneficia   |   | ınt of<br>es<br>ially<br>Following   | Form:                                  | Direct Indirect Istr. 4)   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |  |
|  |   |  |                                     |                              |                                   |   | Code  | v          | Amount (A) (D)                          |        | Price   |  | Transaction(s)<br>(Instr. 3 and 4)    |   |   |  | (Instr. 4)                             |  |  |  |
| Common Stock 02/1  |   |  |                                     | 02/10/                       | 2016                              | 2016  |   |            |   |        | 3,497   | A  | \$0.00                                | 00(1)   | (1) 89,784  |  |  | D  |  |  |
| Common Stock   |   |  |                                     | 02/10/                       | )/2016                            |   |   |            | <b>F</b> <sup>(2)</sup>                 |        | 2,113   | D  | \$62                                  | .24   | 4 87,671  |  | D                                      |  |  |  |
| Common Stock 02  |   |  |                                     | 02/10/                       | 2016                              | 1016  |   |            |   |        | 3,497   | D  | \$62.7                                | <b>74</b> <sup>(1)</sup>  | (1) 84,174  |  | D                                      |  |  |  |
| Common Stock   |   |  |                                     |                              |                                   |   |   |            |   |        |   |  |                                       |   | 70  | )1 <sup>(3)</sup>  |  |  | By<br>401(k)   |  |
|  |   | Т  | able II                             |                              |                                   |   |   |            |   |        | posed of<br>converti  |  |                                       |   | wned  |  |  |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | if any                              | med<br>on Date,<br>Day/Year) | 4.<br>Transa<br>Code (<br>8)      |   |   |            | 6. Date  <br>Expirati<br>(Month/        | on Da  |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |                                       | De<br>Se<br>(In   | Price of erivative ecurity str. 5)  | 9. Number<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Owr<br>Fori<br>Dire<br>or Ir<br>(I) (I | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |                                     |                              | Code                              | v   | (A)   | (D)        | Date<br>Exercisa                        | able   | Expiration<br>Date  | Title  | Amour<br>or<br>Number<br>of<br>Shares | er  |   |  |  |  |  |  |
| 2013<br>Restricted<br>Stock  | \$0.0000(1)   | 02/10/2016                                 |                                     |                              | M                                 |   |   | 3,497      | (4)                                     |        | (4)   | Common<br>Stock  | 3,49                                  | 7 \$0   | 0.0000 <sup>(1)</sup>   | 0.0000   |  | D  |  |  |

## **Explanation of Responses:**

- 1. On February 10, 2016 the restricted stock units were settled in cash based on the Company's average fair market value of shares of common stock over the twenty trading days preceding the vesting date.
- 2. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock granted on January 31, 2013. This is authorized in the applicable restricted stock award agreement.
- 3. Represents the reporting person's equivalent share ownership in the Company's 401(k) Plan, a unitized plan, as of the date of the latest transaction.
- 4. These restricted stock units vest in 1/3 increments beginning on February 10, 2014 and annually thereafter.

02/12/2016 Cleo Belmonte (POA on file)

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.