FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D | D.C. 20549 |
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| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | | | _ | | | | | | | _ | | | _ | | | | |
|---|---|--|--|-----------|------------------------------|--|--|------------|---|-------|------------------------------|---|------------------|---|---|---|----------------|--|---------------------------------------|--|--|
| Name and Address of Reporting Person* LaPrade, III Frank G. | | | | | | 2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP [COF | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| Larrau | |] | | | | | | | | | Director • Officer (give ti | | | 10% Ov Other (s | · | | | | | | |
| (Local) (Final) (Adiddle) | | | | | | - | | | | | | | | X | below) | | | below) | specily | | |
| l ` ′ | (Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | | Chief Enterprise Srvcs Officer | | | | | | | |
| 1680 CA | 1680 CAPITAL ONE DRIVE | | | | | | | 12/15/2013 | | | | | | | | | | | | | |
| (Street) | | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| MCLEAN VA 22102 | | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | | | |
| (City) | (6 | totol | (7in) | | - | | | | | | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) | (5 | tate) | (Zip) | | | | | | | | | | | | | | | | | | |
| | | Tab | ole I - N | lon-Deri | vativ | e Sed | curit | ies Ac | quire | d, Di | isposed o | f, or Be | nefic | ially | Owned | | | | | | |
| 1. Title of | Security (Ins | tr. 3) | | 2. Transa | ction | on 2A. Deemed | | | 3. 4. Secur | | | s Acquired | (A) or | | 5. Amou | nt of | 6. Ownership | | 7. Nature | | |
| Date (Month/Day | | | | | ay/Year | Execution Date, (Year) if any | | | Transaction Disposed Of (D) (Instr. 3, 4 a | | | 3, 4 an | Benefici | | ally | (D) o | r Indirect | of Indirect Beneficial | | | |
| | | | | | | (Month/Day/Ye | | ay/Year) | 8) | | | | | Reporte | | | (I) (In | | Ownership (Instr. 4) | | |
| | | | | | | | | | | v | Amount | (A) or (D) | Price | | Transaction(s) (Instr. 3 and 4) | | | | | | |
| Common | Stock | | | 12/15/ | 2013 | | | | М | | 10,494 | A | \$0.0 | 000(1) | 48 | 48,791 D | | | | | |
| Common | Stock | | | 12/15/ | 2013 | | | | D | | 10,494 | D | \$71 | 1.13 | 38 | 38,297 D | | | | | |
| Common | Stock | | | | | | | | | | | | | | 676 ⁽²⁾ I By | | | | | | |
| | | | | | | | | | | | | | | 401(k | | | | 401(k) | | | |
| | | | Table II | | | | | | | | posed of, | | | | wned | | | | | | |
| | | | | (e.g., | puts, | calls | 5, Wa | arrants | s, opti | ons, | converti | ble seci | uritie | s) ——— | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Dee Execution if any (Month/I | on Date, | 4. Transa Code (8) | | | | 6. Date Exerci Expiration Dat (Month/Day/Yo | | ate | 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4) | | S | 3. Price of Derivative Security Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | e s Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | | | | Amo or Num | | | | | | | | |
| | | | | | Code | v | (A) | (D) | Date Exercis | sable | Expiration Date | Title | of Shar | | | | | | | | |
| Restricted Stock Units | \$0.0000 ⁽¹⁾ | 12/15/2013 | | | M | | | 10,494 | 12/15/ | 2013 | 12/15/2013 | Common Stock | 10,4 | 194 \$ | s0.0000 ⁽¹⁾ | 0.000 | 0 | D | | | |

Explanation of Responses:

- 1. On December 15, 2013 the restricted stock units were settled in cash based on the Company's average fair market value of shares of common stock over the twenty trading days preceding the vesting date.
- 2. Represents the reporting person's equivalent share ownership in the Company's 401(k) Plan, a unitized plan, as of the date of the latest transaction.

Gregory W. Seward (POA on 12/16/2013 file)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.