FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

ıshington,	D.C.	20549		

OMB APPRO	JVAL				
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* FAIRBANK RICHARD D						2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP [COF								Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
(Last) 1680 CA	t) (First) (Middle) O CAPITAL ONE DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 08/14/2023								X Officer (give title below) Other (specify below) Chairman and CEO				
(Street) MCLEA				_ 4. l	4. If Amendment, Date of Original Filed (Month/Day/Year)								i. Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(Si	ate)	(Zip)		Ru	Rule 10b5-1(c) Transaction Indication X Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da					Execution Date,		3. Transaction Code (Instr.) 8)			Benefic Owned	es ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
								Code	v	Amount	(A) or (D)	Price	Reporte Transa (Instr. 3	ction(s)		(Instr. 4)	
Common Stock ⁽¹⁾ 08/14/2					1/2023	2023		М		3,891	. A	\$70.9	3,8	52,297	D		
Common Stock ⁽¹⁾ 08/14/2			1/2023	2023		S		3,891 Г		\$109.	48 3,8	18,406	D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of 2. 3. Transaction Date Execution Date, or Exercise (Month/Day/Year) if any		4. Transa	ansaction of Unstr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4)	Ownersh Form: Direct (D or Indirec (I) (Instr.	Beneficial Ownership t (Instr. 4)					
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amount or Number of Shares				
Stock Options ⁽¹⁾	\$70.96	08/14/2023			M			3,891	02/15/20	17	01/29/2024	Common Stock	3,891	\$0	46,68	B D	

Explanation of Responses:

Remarks:

/s/ Jason S. Frank (POA on

08/15/2023

<u>file)</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{1.} This transaction was executed pursuant to a trading plan entered into by the reporting person on February 10, 2023, in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.