FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

wasnington,	D.C.	20549	

neck this box if no longer subject to
ection 16. Form 4 or Form 5
oligations may continue. See
etruction 1/h)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* FINNERAN JOHN G JR				2. Is <u>CA</u>	2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP COF									all applic Directo	or (give title	g Perso	10% Ov Other (s	vner	
(Last) 1680 CA	Fi PITAL ON	,	(Middle)		3. Date of Earliest Transa 02/15/2021				saction (Month/Day/Year)					Senior Advisor and Corp Secy					
(Street) MCLEA	N V	A :	22102		4. If	Amen	ndmer	nt, Date o	of Origina	al File	d (Month/D	ay/Year)		6. Indiv Line) X	Form f	Joint/Group iled by One iled by Mor	e Repor	ting Perso	n
(City)	(S	tate)	(Zip)												Persor	1			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
		2. Transaction Date (Month/Day/Yea		Execution Date,		Transaction I Code (Instr.		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 and 1)			and 5) Securitie Benefici Owned F		es ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Direct Indirect tr. 4)	7. Nature of Indirect Beneficial Ownership			
						Code	v	Amount	(A) or (D)	Price			ted action(s) 3 and 4)			(Instr. 4)			
Common	Common Stock 02/15/2		2021	021			M		2,399	A	\$	\$0(1)		65,187		D			
Common	Stock			02/15/	2021				D		2,399	D	\$10	9.6(1)	62	,788		D	
Common	ommon Stock 02/15/		2021	2021					1,645	D	\$11	§117.75 61,		,143		D			
Common	Stock			02/15/	2021				F ⁽³⁾		2,201	D	\$11	\$117.75 58		,942		D	
Common	Stock			02/15/	2021				F ⁽⁴⁾		1,109	D	\$11	7.75	57	,833]	D	
Common Stock												45,527		I :	By July 2020 GRAT				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deer Execution if any (Month/I	med on Date,	4. Transa	5. Number of I Derivative (6. Date Exercisable and Expiration Date Amo (Month/Day/Year) Secu Unde Deriv			7. Title an Amount o Securities Underlyin Derivative	Title and 8 mount of 5 cecurities 5 (nderlying lerivative Security nstr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transactions (Instr. 4)	i C	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)		Date Exercisa		Expiration Date	Title	Amou or Numb of Share	er					
2018 Restricted Stock Units	\$0.0 ⁽¹⁾	02/15/2021			M			2,399	(5)		(5)	Common Stock	2,39	9	\$0 ⁽¹⁾	0		D	

Explanation of Responses:

- 1. On February 15, 2021, the restricted stock units were settled in cash based on the Company's average fair market value of shares of common stock over the fifteen trading days preceding the vesting date.
- 2. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock units granted on February 1, 2018. This is authorized in the applicable restricted stock award agreeme
- 3. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock units granted on January 31, 2019. This is authorized in the applicable restricted stock award agreement.
- 4. Represents the automatic withholding by the issuer to satisfy the reporting person's tax obligation associated with the vesting of restricted stock units granted on January 30, 2020. This is authorized in the applicable restricted stock award agreement.
- 5. These restricted stock units vest in 1/3 increments beginning on February 15, 2019 and annually thereafter.

Remarks:

/s/ Cleo Belmonte (POA on

02/17/2021

file)

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.